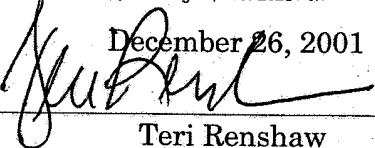


Patent Docket P3121R1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  Audrey Goddard, et al.  Serial No.: 09/964,994  Filed: September 26, 2001  For: <i>Novel Polypeptides Having Sequence Similarity to Cytokine Receptors and Nucleic Acids Encoding the Same</i>	Group Art Unit: Unknown  Examiner: Unknown  Confirmation No: 2989
<p style="text-align: center;"><b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on</p> <p style="text-align: right;">December 26, 2001</p> <p style="text-align: right;"> Teri Renshaw</p>	

**REQUEST FOR CORRECTED PRIORITY CLAIM UNDER 37 C.F.R. §1.78(a)**

Box: Missing Parts  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Pursuant to the provisions under 37 C.F.R. §1.78(a), Applicants hereby request a correction of the priority claim in the above captioned application. Further thereto, Applicants hereby enclose the following documents:

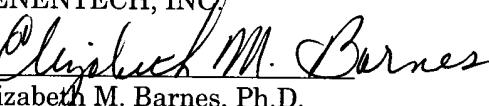
- 1) Duly executed Combined Declaration and Power of Attorney document; and
- 2) Preliminary Amendment.

Applicants respectfully submit that the above captioned patent application is entitled to validly claim priority to these previously filed applications.

The Commissioner is authorized to charge any fees which may be required to our Deposit Account No. 07-0630. The examiner is invited to contact the undersigned at (650)225-4563 if any issues may be resolved in that manner.

Respectfully submitted,

GENENTECH, INC.

By:   
Elizabeth M. Barnes, Ph.D.  
Reg. No. 35,059

Date: December 26, 2001



09157

PATENT TRADEMARK OFFICE



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,994	09/26/2001	Audrey Goddard	P3121RI

**CONFIRMATION NO. 2989**  
**FORMALITIES LETTER**

  
 \*OC000000007024319\*

Attn: Elizabeth M. Barnes  
GENENTECH, INC.  
553 CHERRY AVENUE  
SAN BRUNO, CA 94066

#3

Date Mailed: 11/05/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
  
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

01/08/2002 05AYAS11 00000069 07065  
 01 FC:105 130.00 CH

[patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Secty  
LJ 18

Patent Docket P3121R1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Audrey Goddard, et al.  
Serial No.: 09/964,994  
Filed: September 26, 2001  
For: *Novel Polypeptides Having Sequence Similarity to Cytokine Receptors and Nucleic Acids Encoding the Same*

Group Art Unit: Unknown

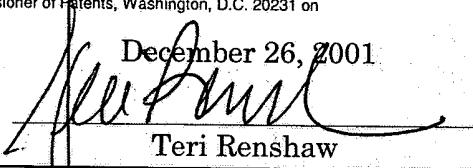
Examiner: Unknown

CONFIRMATION NO: 2989

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

December 26, 2001

  
Teri Renshaw

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box: MISSING PARTS  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

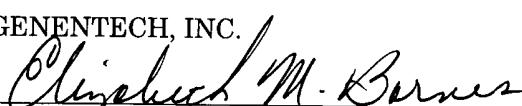
This is responsive to the Notice to File Missing Parts - Filing Date Granted dated November 5, 2001. Transmitted herewith are the following documents:

1. Declaration duly executed.
2. Copy of Notice to File Missing Parts of Application.
3. Request for Corrected Priority Claim.
4. Preliminary Amendment.
5. Paper and Electronic Copy of Sequence Listing.
6. Certificate re: Sequence Listing.

The Commissioner is hereby authorized to deduct the appropriate surcharge fee of \$130 associated with this communication or credit any overpayment to Deposit Account No. 07-0630. A duplicate of this sheet is enclosed.

Respectfully submitted,

GENENTECH, INC.

By:   
Elizabeth M. Barnes, Ph.D.  
Reg. No. 35,059  
Telephone No. (650) 225-4563

Date: December 26, 2001



09157

PATENT TRADEMARK OFFICE